



# Public Key and Signature Infrastructure for Industrial Security



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# Dr. Lutz Jänicke – Corporate Product & Solution Security Officer

- 2002-2015 Innominate Security Technologies AG (now: Phoenix Contact Cyber Security GmbH)
  - CTO; IT Security Manager
- 2016- Corporate Product & Solution Security Officer
  - Phoenix Contact Group/Digital Processes & Solutions
- Plattform Industrie 4.0
  - WG Security of networked systems; SWG Secure Communication for Industrie 4.0
- DKE
  - UK 931.1 IT-Sicherheit in der Automatisierungstechnik (→ IEC 62443)
  - TBKON Cybersecurity; TBINK AK „Safety & Security“
  - Advisory Board CERT@VDE
- ZVEI
  - WG Cybersecurity; WG Industrial Security
- VDMA
  - WG Cybersecurity, WG Secure Remote Maintenance



# Some Context...

Continuous growth together

## Company headquarters and competence centers



**Headquarters**  
Blomberg | Germany

Corporate Presentations / Confidential (I)



Continuous growth together

## Company headquarters and competence centers



**Group Center of Competence**  
Harrisburg | USA

Corporate Presentations / Vertraulich (I)



**Innovation Center Electronics**  
Bad Pyrmont | Germany



**Group Center of Competence**  
Nanjing | China



Over  
**100,000**  
innovative  
products

Corporate Presentations / Confidential (I)



People and markets

## Continuous growth together

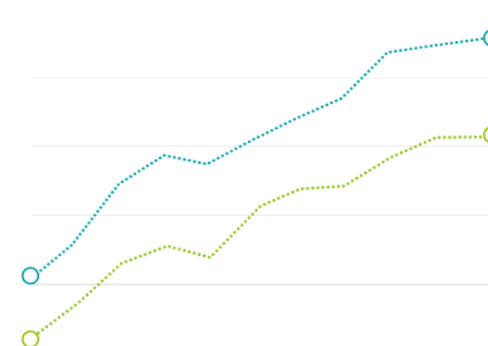
**2007**

**1.0**

€ Billion sales

**6,800**

Employees worldwide



**3.6**

€ Billion sales

**22,000**

Employees worldwide

**2022**



# Industrial Security with IEC 62443

Covering the complete topic of industrial security

General	Policies and procedures	System	Component	Profiles	Evaluation
1-1 Technology, concepts and models	2-1 Requirements for an IACS security management system	3-1 Security technologies for IACS (TR)	4-1 Secure product development lifecycle		6-1 Security evaluation methodology for IEC 62443 – Part 2-4 (TS)
1-2 Master Glossary of terms and abbreviations	2-2 Security Protection Rating	3-2 Security risk assessment and system design	4-2 Technical security requirements for IACS products		6-2 Security evaluation methodology for IEC 62443 – Part 4-2 (TS)
1-3 System security compliance metrics	2-3 Patch management in the IACS environment (TR)	3-3 System security requirements and security levels			
1-4 System security lifecycle and use case	2-4 Requirements for IACS solution suppliers				
1-5 Rules for IEC 62443 Profiles (TS)	2-5 Implementation Guidance for IACS Asset Owners				
Definitions Metrics	Security Requirements for plant owner and suppliers	Security Requirements for a secure system	Security Requirements for secure components	Profiles for IACS solution suppliers (and more?)	Evaluation methodologies for conformity assessment
<b>Process requirements</b>			<b>Functional requirements</b>		

# Industrial Security with IEC 62443

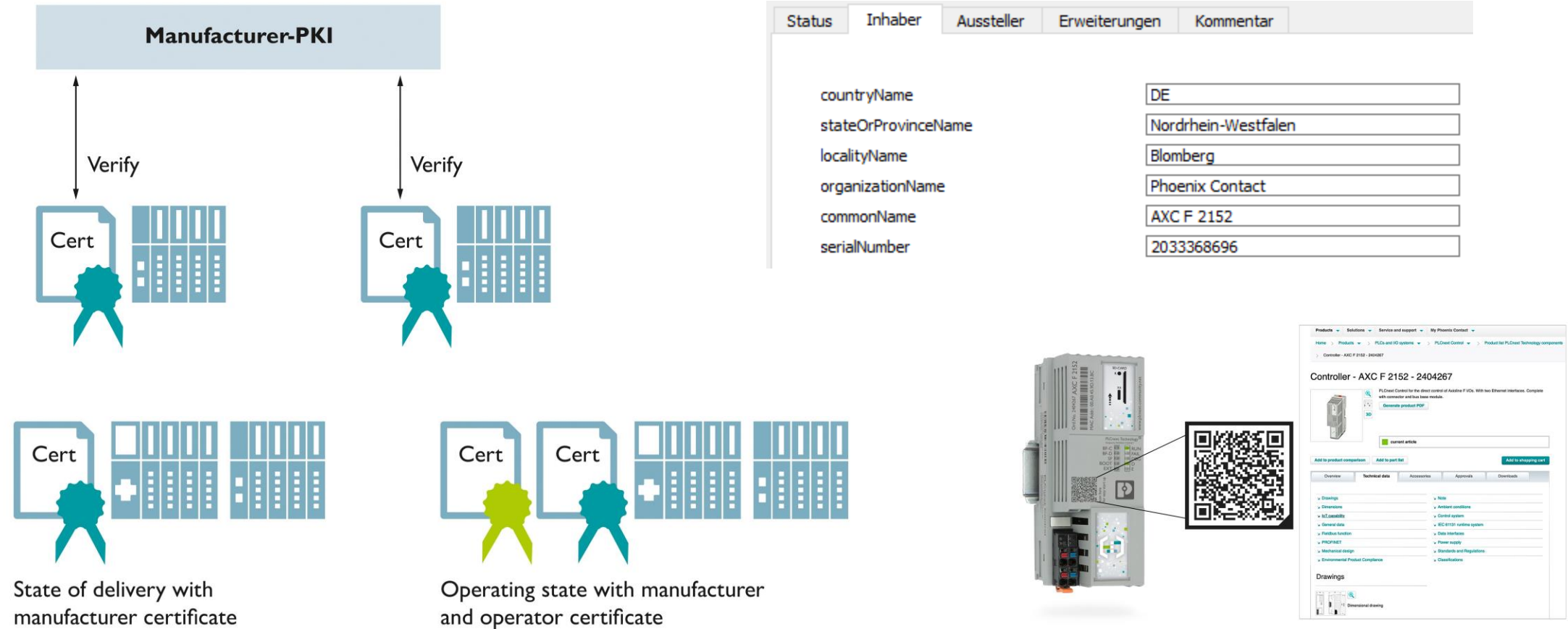
## Requirements for Components

- 4-1: SM-7: Development environment security
- 4-1: SM-8: Controls for private keys
- 4-1: SUM-4: Security update delivery
- 4-2: CR 1.8 – Public key infrastructure certificates
- 4-2: CR 1.9 – Strength of public key-based authentication
- 4-2: CR 3.12 – Provisioning product supplier roots of trust
- 4-2: CR 3.13 – Provisioning asset owner roots of trust
- 4-2: CR 3.14 – Integrity of the boot process



# Concepts involving Digital Certificates

## Zero Touch Provisioning to Digital Nameplate



# Required: PKI and Digital Signatures

## Overview

- PKI:
  - Providing Secure Digital Identities for Devices
    - Based on/creating Phoenix Contact Root of Trust
  - Providing Root of Trust for (Firmware) Signatures
- Digital Signatures:
  - Signing of (Windows) Software and Drivers based on public CA
  - Signing of Firmware updates based on own Root of Trust
  - Signing of Firmware images for secure boot (plain or CA hierarchy based)

# Device Identities with IEEE 802.1 AR

## X.509 for Device Identity

- Device has multiple identities
  - Initial Device ID
    - Provided by manufacturer
    - Protection by secure element recommended
      - Quality of X.509 certificate described in Certificate Policy and Certification Practices Statement
    - Validity infinite (31-12-9999)
  - Local Device ID
    - Provided by operating entity
    - Following local policies



# Boundary Conditions

## Interface to the real life

- OT equipment is hardly allowed Internet connectivity
  - OCSP is no option, CRL already is a stretch
- OT equipment and setup has lifetimes of decades
  - Concepts need to take into account certificate expiry
  - Public CAs may change their business model or go out of business
- PKI is considered voodoo by (OT) users
- PKI and Digital Signatures are hardly understood in depth even in IT and software development

# Setup at Phoenix Contact

Using PrimeKey Appliances

## Harrisburg

hbg-idam-seq01



## Blomberg

RZ 1

blg-pki-app01



RZ 2

blg-pki-app02



Cluster

blg-sign-app01



blg-sign-app02



Semi-Redundant Setup

Public services:

<https://devicepki.phoenixcontact.com>

<http://crl.phoenixcontact.com>

## Bad Pyrmont

(RZ)

pyr-pki-app01



pyr-idam-seq01

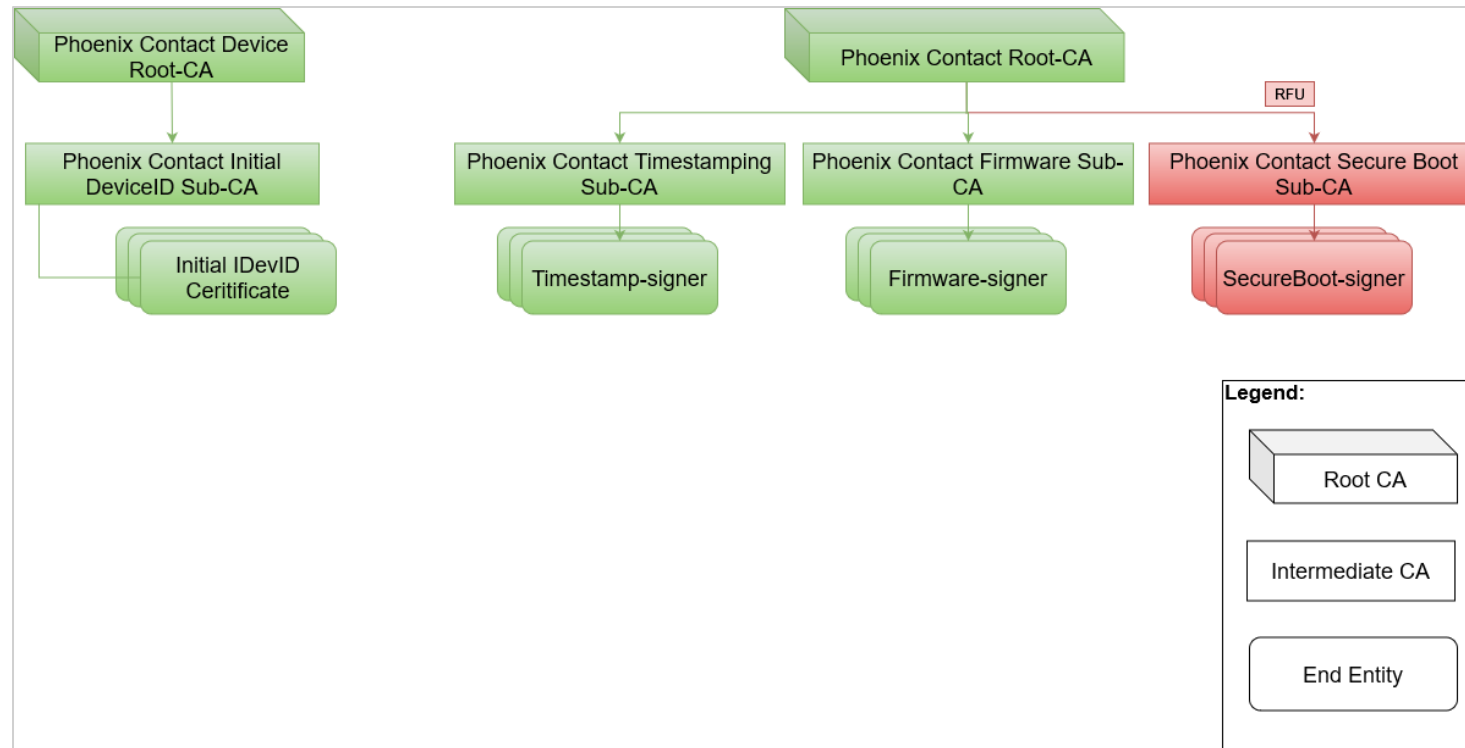


pyr-idam-seq02



# Certificate Hierarchy

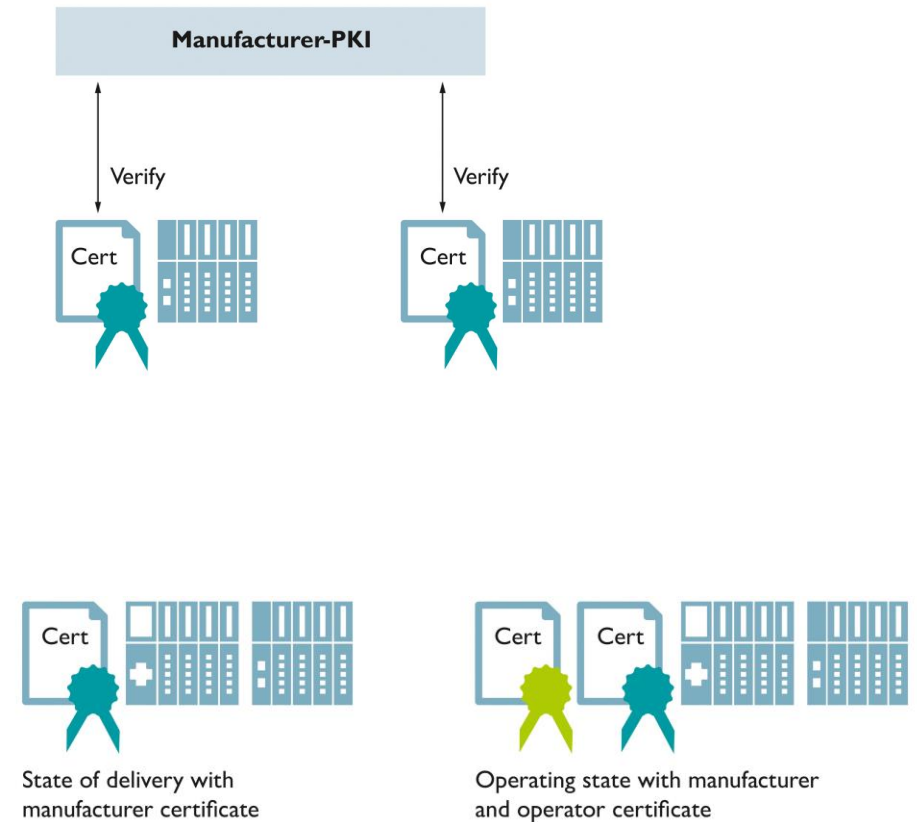
## Phoenix Contact Device PKI



# Use Case Device Identity

## Manufacturer PKI

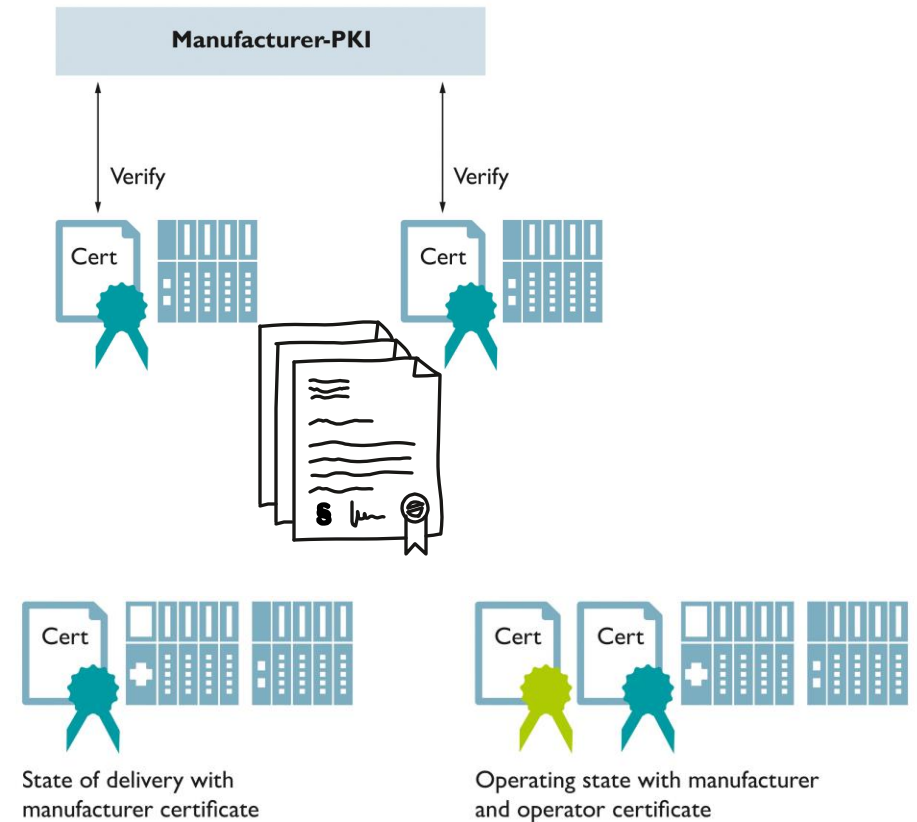
- Initial Device Identities need to identify the device by manufacturer and serial number (and ...)
- No commercial CA is issuing such certificates
- No manufacturer would like to pay significant fees for each device certified
- Therefore: manufacturers create their own Device-PKIs



# Use Case Device Identity

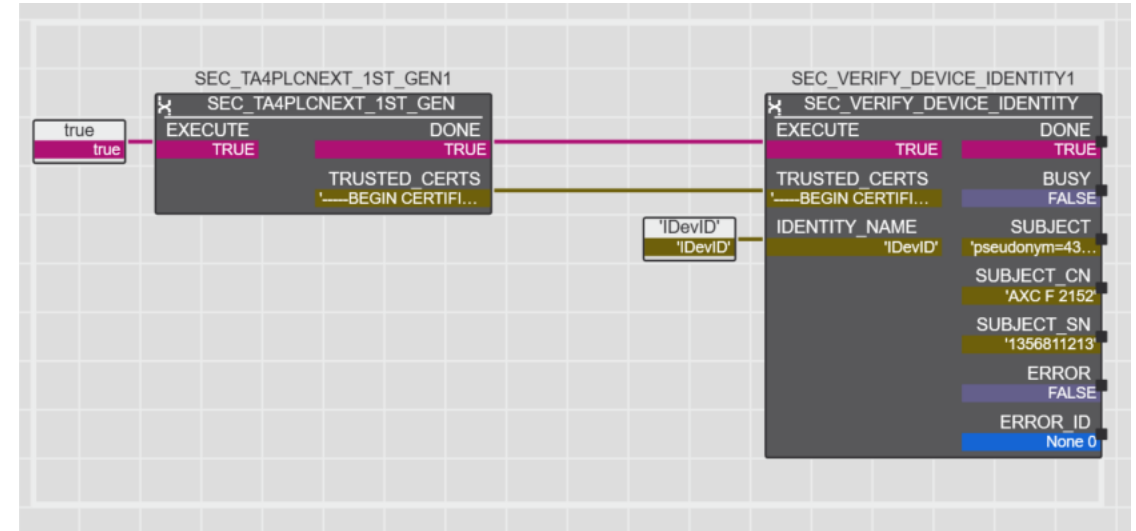
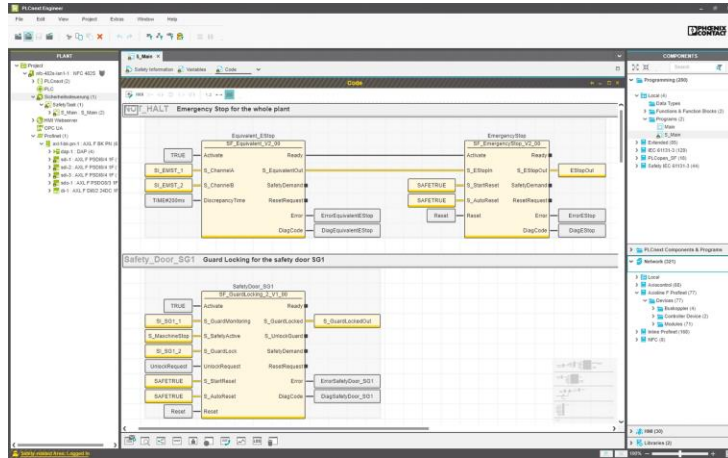
## Models for Distributing Trust

- Customers load manufacturer root of trust for the initial commissioning
  - Challenging with large numbers of manufacturers
  - Difficult to automate for Zero Touch Provisioning
- Additional trust information can be used
  - OPC UA Part 21 „Device Onboarding“ uses additional „Ticket“ with device information, signed with certificate from commercial CA
  - RFC 8995 „Bootstrapping Remote Secure Key Infrastructure (BRSKI)“ uses online verification concepts



# Use Case Device Identity

## Engineering Tool and PLC Program



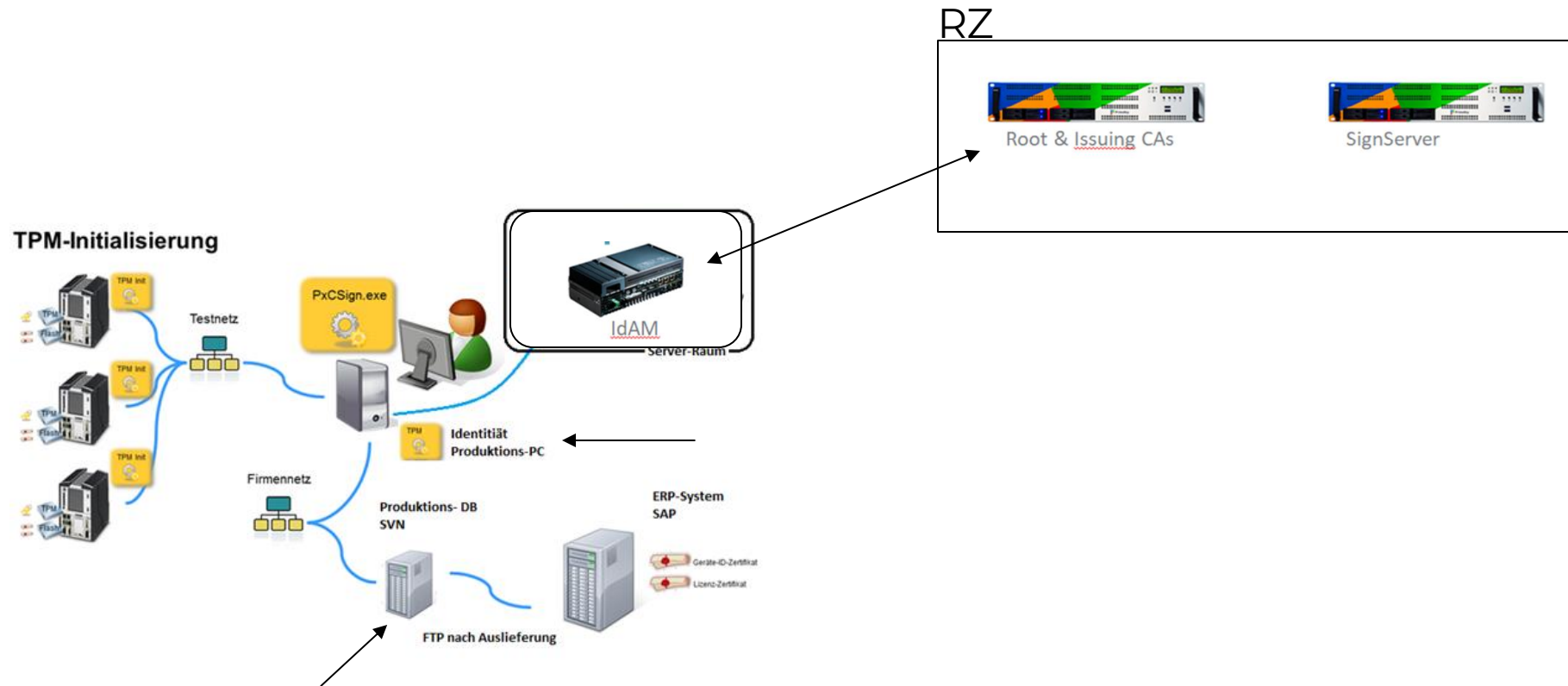
## TLS connection with authentication





# Use Case Device Identity

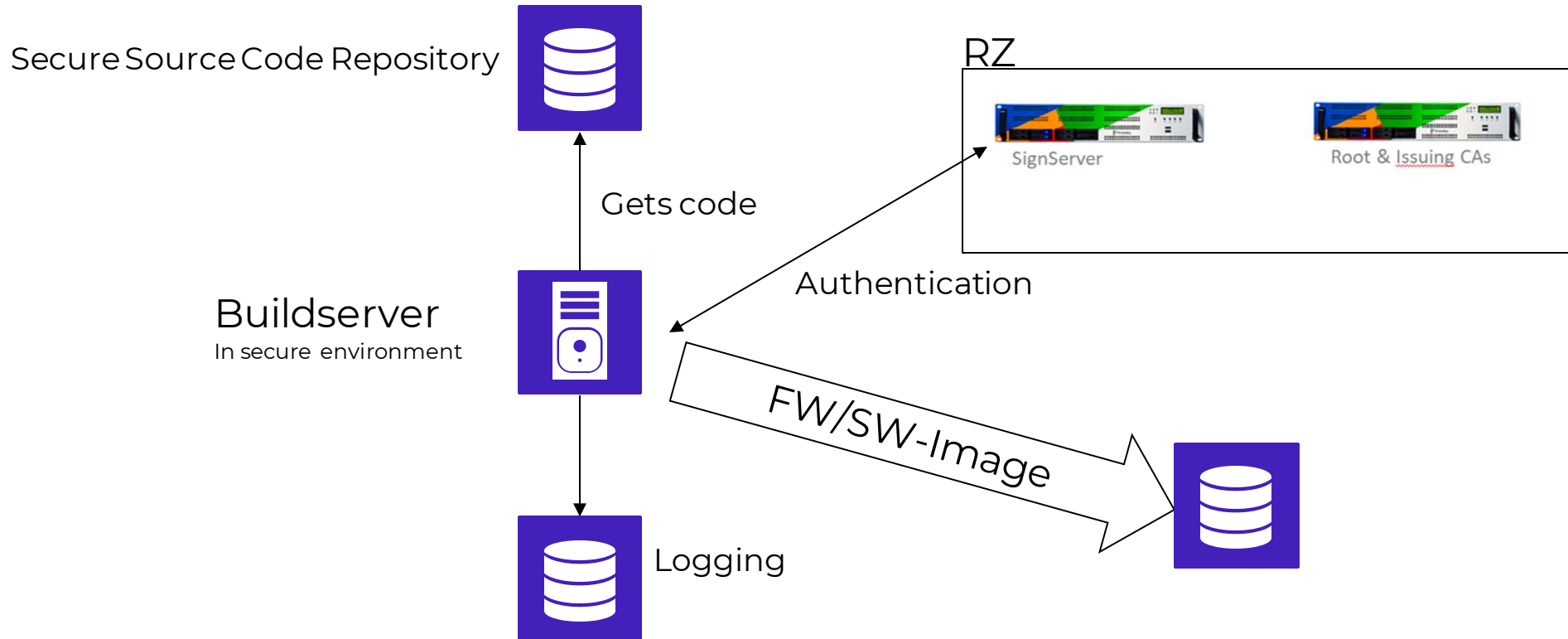
Implementing the certificate enrollment/programming



Technologies SVN und FTP used exemplary

# Use Case Software/Firmware Signing

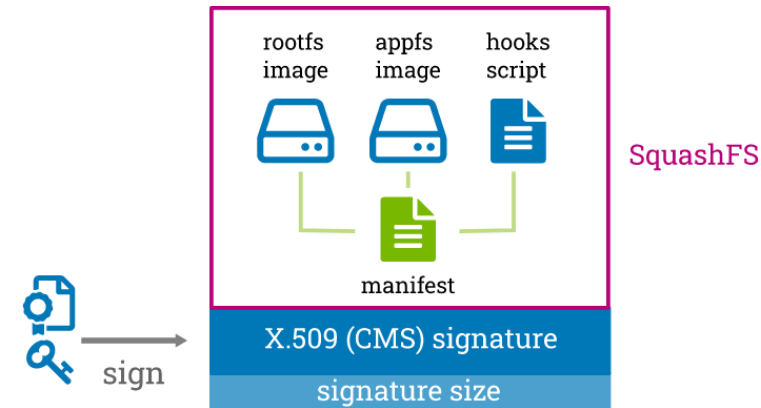
Integration into build infrastructure



# Use Case Firmware Signing

## Digital Signature of Firmware Updates

- Signatures depend on the technology used
- One important technology is RAUC
- RAUC is using Cryptographic Message Syntax (CMS)

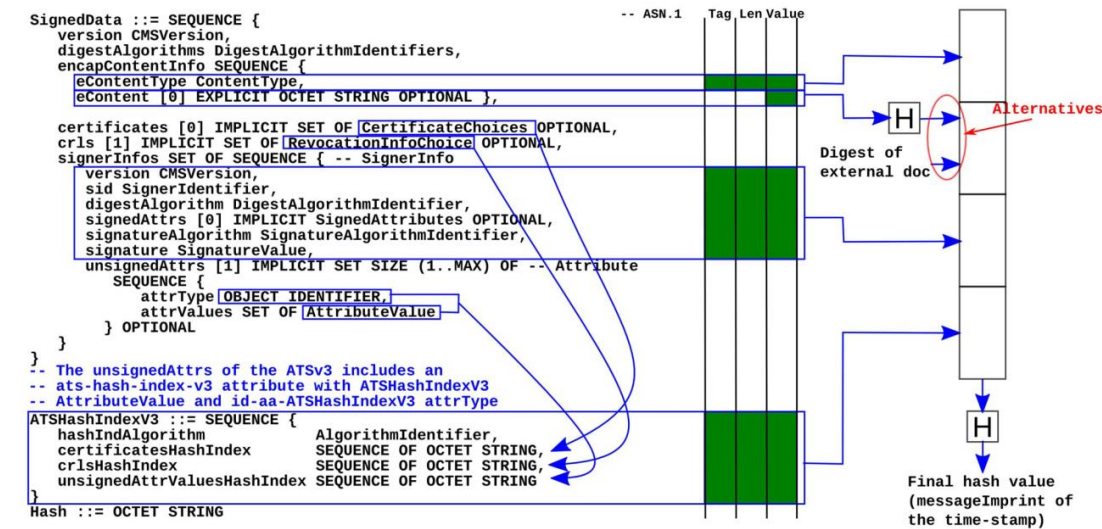


Source: <https://rauc.readthedocs.io/en/latest/advanced.html>

# Use Case Firmware Signing

## Digital Signature of Firmware Updates

- Challenge: „standard“ CMS signature verification fails with expiry of the certificate (chain)
- Solution: add time stamp using the CAdES (CMS Advanced digital Electronic Signature) concept
  - Using own signing tool based on EU Digital Signature Service (DSS) Open Source offering
    - Using PlainSigner Worker
  - Extend OpenSSL to support CAdES Baseline-T and higher formats (Work in Progress)



Source: Draft ETSI EN 319 122-1 V1.1.5 (2021-07)

# Use Case Secure Boot

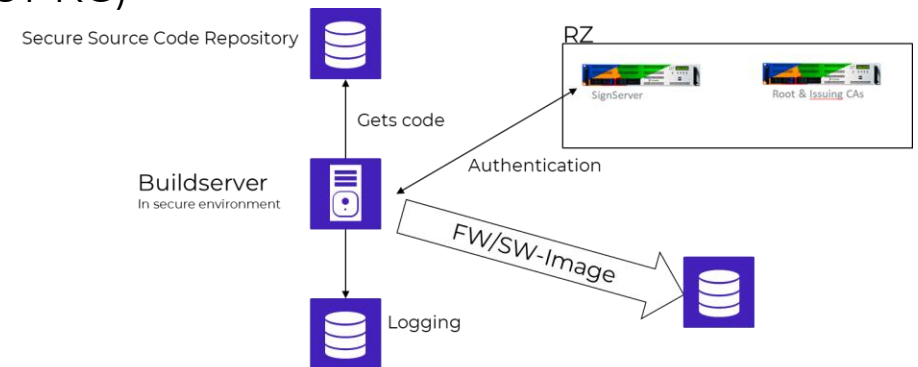
## Dependent on the Capabilities of the Processor

- Everything is possible (raw signature ... X.509 certificate hierarchy supported)
- Our first live experience:
  - Processor using raw RSA-PSS signatures againsts single RSA public key
  - Created own application using a Plainsigner Worker (Processor manufacturer added an interface into their signature toolchain)
- Challenge: No redundancy due to certificates issued for different Signservers
- Solution: Use cloned Signservers using the same HSM key material and setup
  - As not fully supported concept, configuration must be held synchronous manually

# Use Case Windows Signing

Simple... on the first glance

- During project setup interviews were conducted and tests performed
  - Signatures needed for EXE, DLL, MSI, JAR
  - Tested and used with PrimeKey signclient application
- Once going productive new formats popped up
  - Visual Studio Extensions (VSIX), NuGet Packages (NUPKG)
- Finally signing of (old!?) drivers
  - CAT, CAB
- Just recently
  - .NET MAUI: MSIX







“

Trustworthiness of identities and signatures comes from policy and procedures. Technology is important but only in a supporting manner.



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